OMB Number: 4040-0004

Expiration Date: 12/31/2022

Application for Federal Assistance SF-424					
* 1. Type of Submission:	* 2. Type of Application:	If Revision, select appropriate letter(s):			
Preapplication	New	A: Increase Award			
Application	Continuation	* Other (Specify):			
Changed/Corrected Application	Revision				
* 3. Date Received:	4. Applicant Identifier:				
01/29/2021	B-20-MW-19-00				
5a. Federal Entity Identifier:		5b. Federal Award Identifier:			
		B-20-MW-19-00			
State Use Only:		•			
6. Date Received by State:	7. State Application I	Identifier:			
8. APPLICANT INFORMATION:					
*a.Legal Name: City of Ames, I	A				
* b. Employer/Taxpayer Identification Nur	mber (EIN/TIN):	* c. Organizational DUNS:			
42-6004218		0613209170000			
d. Address:					
* Street1: 515 Clark Ave	nue				
Street2: PO Box 811					
* City: Ames					
County/Parish:	······································				
* State: IA: Iowa					
Province:					
* Country: USA: UNITED S	TATES				
* Zip / Postal Code: 50010-0811					
e. Organizational Unit:					
Department Name: Division Name:					
Planning & Housing		Housing			
f. Name and contact information of p	erson to be contacted on ma	tters involving this application:			
Prefix:	* First Name	: Vanessa			
Middle Name:					
* Last Name: Baker-Latimer					
Suffix:					
Tille: Housing Coordinator					
Organizational Affiliation:					
City of Ames					
* Telephone Number: 515-239-5400 Fax Number: 515-239-5966					
*Email: vanessa.bakerlatimer@cityofames.org					

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Application for Federal Assistance SF-424
* 9. Type of Applicant 1: Select Applicant Type:
C: City or Township Government
Type of Applicant 2: Select Applicant Type:
Type of Applicant 3: Select Applicant Type:
* Other (specify):
* 10. Name of Federal Agency:
US Department of Housing & Urban Development
11. Catalog of Federal Domestic Assistance Number:
14.218
CFDA Title:
Community Development Block Grants/Entitlement Grants
* 12. Funding Opportunity Number:
N/A
* Title:
Entitlement Grant-Community Development Block Grant CARES ACT (CDBG-CV)
13. Competition Identification Number:
Title:
14. Areas Affected by Project (Cities, Counties, States, etc.):
Add Attachment Delete Attachment View Attachment
* 15. Descriptive Title of Applicant's Project:
Financial Assistance provided to LMI Household for Rent, Mortgage, and Utility Relief Assistance that will prevent, prepare for and respond to in response to the Coronavirus (COVID-19) Pandemic.
that will prevent, prepare for and respond to in response to the coronavirus (covid is) rundemic.
Attach supporting documents as specified in agency instructions.
Add Attachments Delete Attachments View Attachments

Application for Federal Assistance SF-424				
16. Congressional Districts Of:				
* a. Applicant IA4 * b. Program/Project IA4				
Attach an additional list of Program/Project Congressional Dis	stricts if needed.			
	Add Attachment Delete Attachment View Attachment			
17. Proposed Project:				
* a. Start Date: 10/01/2020	* b. End Date: 09/30/2027			
18. Estimated Funding (\$):				
* a. Federal 710, 970.	00			
* b. Applicant 0,	00			
* c. State 0.	00			
* d. Local 0.	00			
* e. Other 0.	00			
* f. Program Income 0.	00			
* g. TOTAL 710, 970.	00			
* 19. Is Application Subject to Review By State Under E	xecutive Order 12372 Process?			
a. This application was made available to the State u	Inder the Executive Order 12372 Process for review on			
b. Program is subject to E.O. 12372 but has not been	n selected by the State for review.			
C Program is not covered by E.O. 12372.				
* 20. Is the Applicant Delinquent On Any Federal Debt?	(If "Yes," provide explanation in attachment.)			
Yes 🛛 No				
If "Yes", provide explanation and attach				
	Add Attachment Delete Attachment View Attachment			
21. *By signing this application, I certify (1) to the stat	ements contained in the list of certifications** and (2) that the statements			
herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may				
subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)				
X ** I AGREE				
** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.				
Authorized Representative:				
Prefix: Mr. *	First Name: John			
Middle Name: A.				
* Last Name: Haila				
Suffix:				
* Title: Mayor				
* Telephone Number: 515-239-5101	Fax Number: 515-239-5142			
* Email: jhaila@cityofames.org				
* Signature of Authorized Representative:	* Date Signed: 1/29/2021			
	TOMALIULA			

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant:, I certify that the applicant:

- Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
- Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
- 3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
- 4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
- 5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
- 6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
- Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.

- Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
- 9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
- 10. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29) U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse: (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statue(s) under which application for Federal assistance is being made; and (i) the requirements of any other nondiscrimination statue(s) which may apply to the application.

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- 11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
- Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
- Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
- 14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
- 15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of

Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).

- Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
- Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq).
- Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
- Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
- 20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE Mayor	
Samound		
APPLICANT ORGANIZATION	DATE SUBMITTED	
City of Ames, Iowa	[[29/2021	

SF-424D (Rev. 7-97) Back



CPMP Non-State Grantee Certifications

Many elements of this document may be completed electronically, however a signature must be manually

applied and the document must be submitted in paper form to the Field Office.

☐ This certification does not apply.
 ☑ This certification is applicable.

NON-STATE GOVERNMENT CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Drug Free Workplace -- It will or will continue to provide a drug-free workplace by:

- 1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- 2. Establishing an ongoing drug-free awareness program to inform employees about
 - a. The dangers of drug abuse in the workplace;
 - b. The grantee's policy of maintaining a drug-free workplace;
 - c. Any available drug counseling, rehabilitation, and employee assistance programs; and
 - d. The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- 3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
- 4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will
 - a. Abide by the terms of the statement; and
 - b. Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
- 5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

- 6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted
 - a. Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - b. Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
- 7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

- 8. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
- 9. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
- 10. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

Signature/Authorized Official

Date

01/27/2021

John A.Haila
Name
Mayor
Title
515 Clark Avenue
Address
Ames, Iowa 50010-0811
City/State/Zip
515-239-5101
Telephone Number

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Jurisdiction

☐ This certification does not apply. ☑ This certification is applicable.

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

- 11. Maximum Feasible Priority With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
- 12. Overall Benefit The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) 2019, 2020, 2021, (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
- 13. Special Assessments It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

- 14. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
- 15. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of part 35, subparts A, B, J, K and R, of title 24;

Compliance with Laws -- It will comply with applicable laws.

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Signature/Authorized Official

01/27/2021

Date

John A. Haila
Name
Mayor
Title
515 Clark Avenue
Address
Ames, Iowa 50010-0811
City/State/Zip
515-239-5101
Telephone Number

Jurisdiction

☐ This certification does not apply. ☑ This certification is applicable.

OPTIONAL CERTIFICATION CDBG

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having a particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities, which are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

Signature/Authorized Official

01/27/2021

Date

John A. Haila

Name

Mayor

Title

515 Clark Avenue

Address

Ames, Iowa 50010-0811

City/State/Zip

515-239-5101

Telephone Number

This certification does not apply. This certification is applicable.

Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

Eligible Activities and Costs -- it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

Appropriate Financial Assistance -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

Hall

Signature/Authorized Official

01/27/2021

Date

John A. Haila

Name

Mayor

Title

515 Clark Avenue

Address

Ames, Iowa 50010-0811

City/State/Zip

515/239-5101

Telephone Number

This certification does not apply. This certification is applicable.

HOPWA Certifications

The HOPWA grantee certifies that:

Activities -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

Building -- Any building or structure assisted under that program shall be operated for the purpose specified in the plan:

- For at least 10 years in the case of assistance involving new construction, substantial rehabilitation, or 1. acquisition of a facility,
- For at least 3 years in the case of assistance involving non-substantial rehabilitation or repair of a 2. building or structure.

Attila

Signature/Authorized Official

01/27/2021

Date

John A. Haila
Name
Mayor
Title
515 Clark Avenue
Address
Ames, Iowa 50010
City/State/Zip
515-239-5101
Telephone Number

This certification does not apply. This certification is applicable.

ESG Certifications

I, , Chief Executive Officer of **Error! Not a valid link.**, certify that the local government will ensure the provision of the matching supplemental funds required by the regulation at 24 *CFR* 576.51. I have attached to this certification a description of the sources and amounts of such supplemental funds.

I further certify that the local government will comply with:

- 1. The requirements of 24 *CFR* 576.53 concerning the continued use of buildings for which Emergency Shelter Grants are used for rehabilitation or conversion of buildings for use as emergency shelters for the homeless; or when funds are used solely for operating costs or essential services.
- 2. The building standards requirement of 24 CFR 576.55.
- 3. The requirements of 24 *CFR* 576.56, concerning assurances on services and other assistance to the homeless.
- 4. The requirements of 24 *CFR* 576.57, other appropriate provisions of 24 *CFR* Part 576, and other applicable federal laws concerning nondiscrimination and equal opportunity.
- 5. The requirements of 24 *CFR* 576.59(b) concerning the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970.
- 6. The requirement of 24 *CFR* 576.59 concerning minimizing the displacement of persons as a result of a project assisted with these funds.
- 7. The requirements of 24 *CFR* Part 24 concerning the Drug Free Workplace Act of 1988.
- 8. The requirements of 24 *CFR* 576.56(a) and 576.65(b) that grantees develop and implement procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted with ESG funds and that the address or location of any family violence shelter project will not be made public, except with written authorization of the person or persons responsible for the operation of such shelter.
- 9. The requirement that recipients involve themselves, to the maximum extent practicable and where appropriate, homeless individuals and families in policymaking, renovating, maintaining, and operating facilities assisted under the ESG program, and in providing services for occupants of these facilities as provided by 24 *CFR* 76.56.
- 10. The requirements of 24 *CFR* 576.57(e) dealing with the provisions of, and regulations and procedures applicable with respect to the environmental review responsibilities under the National Environmental Policy Act of 1969 and related

authorities as specified in 24 CFR Part 58.

- 11. The requirements of 24 *CFR* 576.21(a)(4) providing that the funding of homeless prevention activities for families that have received eviction notices or notices of termination of utility services will meet the requirements that: (A) the inability of the family to make the required payments must be the result of a sudden reduction in income; (B) the assistance must be necessary to avoid eviction of the family or termination of the services to the family; (C) there must be a reasonable prospect that the family will be able to resume payments within a reasonable period of time; and (D) the assistance must not supplant funding for preexisting homeless prevention activities from any other source.
- 12. The new requirement of the McKinney-Vento Act (42 USC 11362) to develop and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent such discharge from immediately resulting in homelessness for such persons. I further understand that state and local governments are primarily responsible for the care of these individuals, and that ESG funds are not to be used to assist such persons in place of state and local resources.
- 13. HUD's standards for participation in a local Homeless Management Information System (HMIS) and the collection and reporting of client-level information.

I further certify that the submission of a completed and approved Consolidated Plan with its certifications, which act as the application for an Emergency Shelter Grant, is authorized under state and/or local law, and that the local government possesses legal authority to carry out grant activities in accordance with the applicable laws and regulations of the U. S. Department of Housing and Urban Development.

Signature/Authorized Official

01/27/2021	
01/2//2021	

Date

John A. Haila
Name
Mayor
Title
515 Clark Avenue
Address
Ames, Iowa 50010-0811
City/State/Zip
515-239-5101
Telephone Number

□ This certification does not apply. ☑ This certification is applicable.

APPENDIX TO CERTIFICATIONS

Instructions Concerning Lobbying and Drug-Free Workplace Requirements

Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Drug-Free Workplace Certification

- 1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
- 2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
- 3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
- 4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
- 5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
- 6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant: Place of Performance (Street address, city, county, state, zip code) Check if there are workplaces on file that are not identified here. The certification with regard to the drug-free workplace is required by 24 CFR part 21.

Street	City	County	State	Zip
515 Clark Avenue, Room 214	Ames	Story	IA	50010
	515 Clark Avenue,	515 Clark Avenue, Ames	515 Clark Avenue, Ames Story	515 Clark Avenue, Ames Story IA

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules: "Controlled substance" means a controlled substance in Schedules I through V of the Controlled

Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15); "Conviction" means a finding of guilt (including a plea of *nolo contendere*) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes; "Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any

controlled substance; "Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including:

- a. All "direct charge" employees;b. all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and
- temporary personnel and consultants who are directly engaged in the performance of work under с. the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

Note that by signing these certifications, certain documents must completed, in use, and on file for verification. These documents include:

- 1. Analysis of Impediments to Fair Housing
- 2. Citizen Participation Plan
- 3. Anti-displacement and Relocation Plan

Signature/Authorized Official

7/2021	01/27
--------	-------

Date

John A. Haila

Name

Mayor

Title

515 Clark Avenue

Address

Ames, Iowa 50010-0811

City/State/Zip

515-239-5101

Telephone Number



Community Development Block Grant (CDBG)

ADOPTED

3rd Substantial Amendment to the 2019-20 Annual Action Plan and Revised 2019-23 Five-Year Consolidate Plan Citizen Participation Plan

Round 3 of Funding from the CDBG-CV CARES Act (COVID-19) Relief Act

Housing and Community Development Block Programs City Hall-515 Clark Avenue- Room 214 Ames, Iowa 50010-515-239-5400-515-239-5133 TDD www.cityofames.org/housing



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I. Background/Summary

The City of Ames, Iowa is an entitlement recipient of federal funds from the U.S. Department of Housing and Urban Development (HUD) for the Community Development Block Grant (CDBG) Program and HOME Investment Partnerships (HOME) Program Funds.

On April 2, 2020, in response to the Coronavirus Pandemic (COVID-19), the U.S. Department of Housing and Urban Development (HUD) the City of Ames received its first a special allocation of Community Development Block Grant (CDBG-CV) funds in the amount of \$354,515 to be used to prevent, prepare for, and respond to the coronavirus (COVID-19). This allocation was authorized by the Coronavirus Aid, Relief, and Economic Security Act (CARES Act), Public Law 116-136, which was signed by President Trump on March 27, 2020, and is anticipated to be the first of three rounds of stimulus funds to help the country get back up and running.

On September 11, 2020, HUD again notified the City of Ames, that we will be receiving a 3rd round of the CARES Act funds in the amount of \$356,455 that will also be available for "eligible activities" meeting the national objective of the CDBG-CV program and respond to the spread of infectious diseases such as the Coronavirus Disease 2019 (COVID-19). Those eligible CDBG activities continue to include, but are not limited to, assisting low- and moderate-income households with rental, mortgage or utility assistance for now up to six months, business assistance to retain employees that are low- and moderate-income, and support of other COVID-19 response services.

The guidance still requires entitlement communities to amend their "current approved Action Plans" in which the CARES Funds were initially funded. For the City of Ames that is our 2019-20 Annual Action Plan and any Amendments. Under the CARES Act, the following three major programmatic waivers and/or suspensions were given: 1) Conduct virtual meetings with the public; 2) Public comment period was reduced from 30-days to a 5-days for notice of submittal of amended plans and hearings, etc.; and 3) the 15% funding cap for public services activities (i.e. rental, transportation, childcare, etc.) has been lifted to allow for more expenditures under this category. These three items were added to our Citizen Participation Plan under our Round 1 of CARES Funding.

One major caveat to the CDBG-CV funding that remains as requirement that entitlement communities must continue with utilizing a **Duplication of Benefits (DOB) policy**. The regulations state that the grantee must prevent DOB when implementing eligible activities utilizing CARES Act funding.

The COVID-19 Pandemic continues to impact every state in the country. For Iowa, the governor issued its first state disaster emergency proclamation regarding this pandemic back on March 9, 2020 that began the suspension of the state's economy to reduce the spread of the Coronavirus. Various of proclamations have been issued since this time that cover several closures, openings, limitations, etc. Story County positivity rates, hospitalizations and deaths have in a continual flux as most parts of the country. Also, recent data from the CDC ranks Iowa 20th (41.82%) its distribution of the vaccine. In all cases, we still have ways to go to reduce the impact on the

coronavirus throughout and country and cities. In the meanwhile, the economy is still struggling, and citizens are still unable to bill their bills.

II. 3rd Amendment to the 2019-20 CDBG Annual Action Plan/Budget

As outlined above the City of Ames will be receiving a 3rd allocation of special allocation of CDBG CARES Act funding aimed at preventing, preparing for, and responding to the coronavirus (COVID-19) Pandemic that majorly impacted cities and communities across the United States in March 2020.

In order to receive CARES Act funds, will require the City of Ames to amend its 2019-2020 Annual Action Plan to not only reflect the receipt of a 3rd rounds of CDBG CARES Acts funds, but also to notify and gain feedback from the public on the activities that are being proposed (including the proposed budgets for each activity) or other identified needs. Ccitizen input will be again sought from the community to include but not limited to human service agencies, private for-profit enterprises, not-for-profit organizations, neighborhood organizations, lenders and others. Additionally, public outreach will include advertisement in the free area newspaper, a press release, use of City social media outlets, and radio interviews.

From the city's implementation of the round 1 CARES Funding there is a need to continue to provide relief on past due rent and/or mortgage payments including utilities in the Ames Community. To date through round 1 of CARES funding the city has assisted approximately 104 households through the above programs, spending approximately \$335,510 also has approximately 50-53 pending applications received along with more call as requests occurring weekly.

Based on the above information, it seems prudent that this third round of CDBG CARES Act Funding should continue to address assisting households and individuals that meet HUD's low- and moderate-income limits (80% or below) for the Ames Metropolitan Statistical Area (ASMA). The best approach to getting assistance to emergency programs up and running is to rely on past City administered program guidelines of similar nature, while we still waiting on more specific HUD rules and guidelines to be published. The rationale for implementing a COVID-19 Renters Relief Assistance (Rent and Utilities) and a Homeowners Relief Assistance Program (Mortgage, Utilities) is as follows:

- The activities will meet some of the goals of the CARES Act funding, which is to be used to prevent, prepare for, and respond to the Coronavirus (COVID-19).
- It considers approaches that prioritize the unique needs of low and moderate-income persons as outlined in the Act;
- It will allow the City to develop partnerships between all levels of government and the private for-profit and non-profit sectors.

- Some of the proposed programming have been successfully administered by the City in the past.
- The Staff has the experience to administer the funds, that will be subject to HUD oversight, and reporting.
- Staff can ensure that adequate procedures will be in place to try and prevent the Duplication of Benefits (DOP).

Outlined as Attachment I below is the proposed budget for the 3rd Substantial Amendment to the City's CDBG 2019-20 Annual Action Plan to include the CDBG-CV CARES Act funding for the three Relief Assistance Programs.

Additionally, the City has prepared plans, policies and/or program guidelines in conjunction with the CDBG-CV CARES Act regulations as the follows:

- Exhibit 1- Citizen Participation Plan Update
- Exhibit 2- Duplication of Benefits Policy (DOB)
- Exhibit 3- Renter/Utility Relief Program Highlights
- Exhibit 4- Mortgage/Utility Relief Program Highlights

ATTACHMENT 1

PROPOSED				
3rd AMENDMENT 2019-20 Action Plan Activities and Expenditure Budget:				
Activities	Budget			
COVID-19 Renters Relief Assistance (Rent, Utilities)- Round 3	\$291,164			
COVID-19 Homeowners Relief Assistance (Mortgage, Utilities) -Round 3	\$35,291			
COVID-19 Renters Relief Assistance (Rent, Utilities)- Round 1	\$305,425			
COVID-19 Homeowners Relief Assistance (Mortgage, Utilities) -Round 1	\$42,000			
Acquisition/Reuse Program for Public Facilities -Shared Use Path (148 S. Franklin)	\$400,000			
Homebuyer Assistance Program	\$300,813			
Public Infrastructure Improvements Program for State Avenue NRSA (including Engineering costs+ GO Bond)	\$763,745			
Acquisition/Reuse Program for Affordable Housing	\$10,000			
Rehabiliation/Disposition of 241 Village Drive	\$45,000			
Demolition of 3305 Morningside	\$16,070			
HOME Homeownership Construction Program	\$923,976			
General Administration for CDBG & HOME	CARES 1: \$7,090 CARES 3: \$30,000 CDBG: \$116,241 HOME: \$120,947			
Total	\$3,407,762			
PROPOSED 3 RD AMENDED 2019-20 Action Plan Revenue I	Budget:			
2019-20 CDBG-CV (CARES ACT-COVID-19) Round 3	\$356,455			
2019-20 CDBG-CV (CARES ACT-COVID-19) Round 1	\$354,515			
2019-20 CDBG Allocation	\$581,207			
2018-19 CDBG Anticipated Program Rollover	\$462,820			
2019-20 CDBG Anticipated Program Income	\$357,842			
Non-CDBG Revenue-GO Bonds	\$250,000			
2019-20 HOME Allocation	\$481,968			
18-19 HOME Anticipated Program Rollover	\$747,750			
Adjustment for HOME CHDO Set-Aside	-\$184,795			
Grand Total CDBG, HOME & CDBG-CV	\$3,407,762			

III. Public Comment Period

Federal regulations require for Entitlement Cities to provide the public with reasonable notice of and an opportunity to comment on any substantial amendments in accordance with 24 CFR 91.10.

The public is encouraged to comment upon the proposed 3rd Substantial Amendment to the City's 2019-20 CDBG Annual Action Plan.

Due to the COVID-19 Pandemic, Ames City Hall is closed to the public until July 1, 2020 therefore, the 2nd Substantial Amendment to 2019-20 Annual Action Plan and the revised its 2019 Citizen Participation Plan is **ONLY available for review on the City's web site at: www.cityofames.org/housing**

All comments related to the 3rd Amendment to the 2019-20 CDBG Action Plan must be submitted to the following email: <u>cdbgcares2020@cityofames.org</u>.

The public comment period will begin on Thursday, January 21, 2021 and will end on Monday, January 25, 2021.

Additionally, NOTICE IS HEREBY GIVEN that the Ames City Council will conduct a public hearing to receive comments on the 3rd Amendment to the 2019-20 Action Plan on **January 26, 2021, at 6:00 p.m**. Due to the COVID-19 Pandemic all City Council meetings are conducted electronically through a Zoom platform.

Persons wishing to comment on the 3rd Amendment to the 2019-20 Annual Action Plan may state their views as a video participant by going to: https://zoom.us/j/826593023, the zoom meeting ID is: 826 593 023, or by telephone by dialing: us:1-312-626-6799.

If you are in need of special accommodations for a disability or language translation, please contact Vanessa Baker-Latimer, at the Department Planning & Housing Department at 515-239-5400 or the TDD at (515) 239-5133 or by email at vanessa.bakerlatimer@cityofames.org by Friday, June 5, 2020.

For more information, please contact Vanessa Baker-Latimer, Housing Coordinator, At (515) 239-5400 or at vanessa.bakerlatimer@cityofames.org.

The City of Ames will consider any comments or views of residents, agencies, or other interested parties received in writing or orally at Public Hearings, in preparation of the 2nd amendment. A summary of these comments will be included in this document.

Exhibit 1 Citizen Participation Plan Update

Exhibit 2 Duplication of Benefits Policy

Exhibits 3 &4 CDBG-CV COVID-19 Rent, Mortgage, Utility Relief Program Guidelines

The Rent, Mortgage, and Utility Relief Program Guidelines are available on the City of Ames website at <u>www.cityoames.org/housing</u>.

These guidelines for the City of Ames CDBG-CV COVID-19 Rent, Mortgage and Utility Relief Program are following the Department of Housing and Urban Development (HUD) published program waivers, suspensions and rules and regulations for the CDBG-CV CARES Act (COVID-19) Program at https://www.hud.gov/program_offices/comm_planning/covid-19

Additionally, the City of Ames will amend these program guidelines for reasons of operational efficiency or unforeseen circumstances that may arise or conflict with applicable Federal, State, or City regulations affecting the administration of the program, along with eligibility requirements to meet the needs of the most vulnerable citizens.



Exhibit 3 CITY OF AMES CDBG-CV COVID-19 Rent/Utility Relief Assistance Program Guidelines



This program is limited to the City limits of Ames and subject to the availability of CDBG-CV CARES Act funds and changes in program guidelines from HUD.

Purpose

The purpose of this program is to assist low and income households impacted by a loss of income due to the COVID-19 Pandemic.

Program Description

This program is designed to "prevent evictions" and/or utility shut offs by assisting eligible households with rent and/or utility payments to ensure continued housing stability. The funds may only be used for rent and utilities*.

Who is eligible

- Households experiencing loss of income directly related to COVID-19
- Households in good standing* as of March 31, 2020
- Households which requested rental assistance from landlord
- Households residing in assisted affordable housing projects and Tax Credit units
- Households with incomes at or below 80% Ames Area Median Income (AMI) (lower incomes prioritized if needed)
- Households which received utility disconnect notices.

Who is not eligible**

- Households with a Section 8 Housing Choice Voucher
- Households residing in Project Based Voucher projects
- Households residing in Public Housing
- Households receiving other government-based rental assistance
- Households receiving assistance monthly through other community service/chartable programs and/organizations

Application/Determination Process

• Applications will be accepted on a first come, first served basis, based on date and time application is received. Preference will be given to applications submitted by from property owners/managers on behalf of their tenants impacted by COVID-19.

- Applicant must have a lease agreement in their name and be able to provide required identification and income documentation.
- Households must be in good standing with their lease agreement, other than nonpayment of rent. Household not in good standing for other reasons, may receive assistance if it can be confirmed that the lease violation can be mediated, and eviction will not take place.
- Households must show a need for assistance and those with sufficient, readily available savings, may receive a reduced amount of assistance or be denied assistance

Maximum Amount of Assistance Provided:

- Up to 6 months of assistance per household for rent and utility.
- Payments will be made directly to property owners/managers and to utility companies on behalf of the household.

Duplication of Benefits Policy (DOB):

See DOB policy as outlined under Exhibit 2

Coordination:

- Story County Legal Aid
- Nonprofit affordable housing owners
- Area Utility Providers
- Property Owners/Managers
- Human Service Agencies
- Charitable Organizations
- ASSET (Analysis of Social Services Evaluation Team)
- Others
- * Definitions will be added before program implementation of Round 3

The following in ineligible criteria may be applicable

- Applicant(s) must be a United States citizen or a non-citizen who has Eligible Immigration Status as a Permanent Resident under §249 of INA.
- Has committed (regardless of court decision) a 1) drug related criminal activity or 2) a violent criminal activity within at least thirty-six (36) months before the date the City of Ames Housing Division provides notice to the family of its determination to deny assistance.
- Is subject to a sex offender registry, pursuant to any state sex offender registration law.





Exhibit 4 CITY OF AMES CDBG-CV COVID-19 Mortgage/Utility Relief Assistance Program Guidelines

This program is limited to the City limits of Ames and subject to the availability of CDBG-CV CARES Act funds and changes in program guidelines from HUD.

Purpose

The purpose of this program is to assist low and income households impacted by a loss of income due to the COVID-19 Pandemic.

Program Description

This program is designed to "prevent foreclosure, fortitude" or utility shut-off by assisting eligible households with mortgage, contract and/or utility payments to ensure continued housing stability. The funds may only be used for mortgage and utilities*.

Who is eligible

- Households experiencing loss of income directly related to COVID-19
- Households in good standing* as of March 31, 2020
- Households which requested a forbearance or subject to contract forfeiture
- Households with incomes at or below 80% Ames Area Median Income (AMI)
- Households which received utility disconnect notices.

Who is not eligible**

• Households receiving other government-based mortgage assistance/loans (e.g. Fannie Mae, Freddie Mac, FHA, VA, etc.)

Application/Determination Process

- Applications will be accepted on a first come, first served basis, based on date and time application is received. Preference will be given to applications submitted by from Mortgage Lenders/Vendors on behalf of their homeowners impacted by COVID-19.
- Applicant must have a contract or mortgage and promissory note agreement in their name and be able to provide required identification and income documentation.

- Households must be in good standing with their mortgage lender, or vendor other than non-payment of their mortgage. Households not in good standing for other reasons, may receive assistance if it can be confirmed that their payments violation can be mediated, and eviction will not take place.
- Households must show a need for assistance and those with sufficient, readily available savings, may receive a reduced amount of assistance or be denied assistance

Maximum Amount of Assistance Provided:

- Up to 6 months of assistance per household for mortgage and utility.
- Payments will be made directly to mortgage lenders/vendors to utility companies on behalf of the household.

Duplication of Benefits Policy (DOB):

See DOB policy as outlined under Exhibit 2

Coordination:

- Area Lenders, Bankers, Vendors and Mortgage Companies
- Area Utility Providers

* Definitions will be added before program implementation for Round 3

The following in ineligible criteria is applicable

- Applicant(s) must be a United States citizen or a non-citizen who has Eligible Immigration Status as a Permanent Resident under §249 of INA.
- Has committed (regardless of court decision) a 1) drug related criminal activity or 2) a violent criminal activity within at least thirty-six (36) months before the date the City of Ames Housing Division provides notice to the family of its determination to deny assistance.
- Is subject to a sex offender registry, pursuant to any state sex offender registration law.

Exhibit 5 Public Comment Received

No comments were given during the comment period of Thursday, January 21, 2021 and will end on Monday, January 25, 2021. or during the Ames City Council public hearing held at the Tuesday, January 26, 2021 City Council meeting.

Exhibit 6 City Council Resolution

RESOLUTION NO. 21-054

RESOLUTION APPROVING THE THIRD SUBSTANTIAL AMENDMENT TO THE CDBG 2019/20 ANNUAL ACTION PLAN FOR THE CITY OF AMES, IOWA

WHEREAS, on April 2, 2020, in response to the Coronavirus pandemic (COVID-19), the U.S. Department of Housing and Urban Development (HUD) notified the City of Ames that it will receive a special allocation of Community Development Block Grant (CDBG-CV) funds in the amount of \$354,515 to be used to prevent, prepare for, and respond to the COVID-19 pandemic; and,

WHEREAS, the allocation was authorized by the Coronavirus Aid, Relief, and Economic Security Act (CARES Act); and,

WHEREAS, on September 11, 2020, HUD notified the City that it will receive a third special allocation round of CDBG-CV funds in the amount of \$356,455 authorized by the CARES Act to again be used to prevent, prepare for, and respond to COVID-19; and,

WHEREAS, both rounds of the CARES Act funds are only available for "eligible activities" meeting the national objectives of the CDBG-CV program and responding to the spread of infectious diseases such as COVID-19; and,

WHEREAS, to expedite implementation of this funding, the typical 30-day public comment process has been reduced to a five-day comment period by HUD, and the comment period began on Thursday, January 21, 2021, and ended on January 25, 2021; and,

WHEREAS, staff initially sought public input in April from citizens within the community, private for-profit enterprises, and not-for-profit organizations regarding the distribution of CARES funding; and,

WHEREAS, after considering the public input and conversations with human services agencies, financial institutions, the local housing trust fund, utility companies, medical institutions, other entitlement communities, and past CDBG programming, staff proposed and City Council approved utilizing the first round of funding to accomplish three programs: Rent, Mortgage, and Utilities Relief Assistance; and,

WHEREAS, to date, round one funds have assisted approximately 104 households and approximately \$335,510 has been distributed as follows: 1)Rent Assistance, 49 households; 2) Mortgage Assistance, six households; and, 3) Utilities Assistance, 49 households (44 renters and five homeowners); and,

WHEREAS, there are approximately 25-35 households that have applied for assistance and more inquiries occurring every day from both landlords and tenants; and,

WHEREAS, staff was recently contacted by various human services agencies inquiring if the

City program would continue due to the on-going need of households in the community; therefore, staff is proposing to continue the implementation of the above programs with the third round of CDBG CARES (COVID-19) funding from HUD.

NOW, THEREFORE, BE IT RESOLVED by the City Council of the City of Ames, Iowa, that the Third Substantial Amendment to the CDBG 2019/20 Annual Action Plan that incorporates a third special allocation of CDBG CARES Act funding in the amount of \$356,455 to continue implementing the COVID-19 Rent, Mortgage, and Utilities Relief Assistance Programs, and approve the submittal of the Action Plan to HUD for its approval on or by January 31, 2021 is hereby approved.

ADOPTED THIS 26th day of January, 2021.

Diane R. Voss, City Clerk

ala

John A. Haila, Mayor

- Introduced by: Seconded by: Voting aye: Voting nay:
- Junck Beatty-Hansen Beatty-Hansen, Betcher, Corrieri, Gartin, Junck, Martin None Absent: None

Resolution declared adopted and signed by the Mayor this 26th day of January, 2021.

Exhibit 7 City Council Public Hearing Minutes

MINUTES OF THE REGULAR MEETING OF THE AMES CITY COUNCIL

AMES, IOWA

JANUARY 26, 2021

CALL TO ORDER: Mayor John Haila called the Regular Meeting of the Ames City Council, which was being held electronically, to order at 6:31 p.m. with the following Council members participating: Bronwyn Beatty-Hansen, Gloria Betcher, Amber Corrieri, Tim Gartin, Rachel Junck, and David Martin. *Ex officio* Member Nicole Whitlock was also present.

Mayor Haila stated that it is impractical to hold an in-person Council meeting due to the Governor of Iowa declaring a public health emergency because of the COVID-19 pandemic. Therefore, limits have been placed on public gatherings, and this meeting is being held as an electronic meeting as allowed by Section 21.8 of the *Iowa Code*. The Mayor then provided how the public could participate in the meeting via internet or by phone.

HEARING ON 3RD SUBSTANTIAL AMENDMENT TO THE 2019-20 ANNUAL ACTION PLAN AND CITIZEN PARTICIPATION PLAN: Housing Coordinator Vanessa Baker-Latimer stated that on September 11, 2020, the U.S. Department of Housing and Urban Development (HUD) notified the City that it will receive a third special allocation round of Community Development Block Grant (CDBG-CV) funds in the amount of \$356,455 authorized by the CARES Act to again be used to prevent, prepare for, and respond to COVID-19. She noted that the City was eligible for three rounds of funding. Staff is in the process of finishing round one that was directly funded by HUD. Round two is being administered through the State of Iowa. The third round will be funded through HUD again. Staff is proposing to continue implementation of the Rent, Mortgage, and Utilities Relief Assistance with the third round of CDBG CARES funding from HUD. To date, round one funds have assisted approximately 104 households with almost all the funds spent, and there is still a waiting list of applications to process. Due to the Rent, Mortgage, and Utilities Relief Assistance being funded during the 2019-20-year, HUD requires the City to Amend the Annual Action Plan.

Council Member Betcher asked Ms. Baker-Latimer if the administration of the funds was easy or if there were challenges. Ms. Baker-Latimer explained that there have been some challenges. One of the challenging parts has been the duplication of benefits. Staff had to adjust the program as initially HUD allowed them to help people for up to three months and then that was changed to six months. She noted that they are seeing a different level of applications this time around for COVID, and staff will need to look at changing some guidelines to accommodate those changes. Ms. Baker-Latimer explained that the other challenge is that the moratorium was going to end December 31, 2020, and now that has been changed to January 31, 2021, which has caused a lot of people to start panicking about the possibility of getting evicted. Staff is trying to work with landlords to cover the gaps and that has also been challenging.

The Mayor opened the public hearing. There was no one wishing to speak, and the hearing was closed.

Moved by Junck, seconded by Beatty-Hansen, to adopt RESOLUTION NO. 21-054 approving the 3rd Substantial Amendment to the 2019-20 Annual Action Plan and Citizen Participation Plan. Roll Call Vote: 6-0. Resolution declared adopted unanimously, signed by the Mayor, and hereby made a portion of these Minutes.

ADJOURNMENT: Moved by Corrieri to adjourn the meeting at 10:03 p.m.

Amy L. (JUCLO Amy L. Colwell, Deputy City Clerk

11)

Diane R. Voss, City Clerk

Bill

John A. Haila, Mayor

Exhibit 8

Proof of Publication/Other Media Communications

CITY OF AMES 515 CLARK AVENUE AMES, IA 500100811

STATE OF IOWA, STORY COUNTY

I, <u>Shully Honc</u>, on oath depose and say that I am the LegalClerk of THE AMES TRIBUNE, a daily newspaper, published at ; Ames, Story County, Iowa that the annexed printed:

CITY OF AMES

PH Notice 3rd Amendment to 2019-20 Annual Action Plan

was published in said newspaper 1 time(s) on

January 20, 2021

the last day of said publication being the 20th day of January, 2021

Legal Clerk

Notary Public, State of Wisconsin, County of Brown

My commission expires

sworn to before me and subscribed in my presence by this the 20th day of January, 2021

FEE: \$58.31 AD #: 0001387736 ACCT: 33408



#1387736 LEGAL NOTICE

NOTICE OF PUBLIC HEARING ON 3rd SUBSTANTIAL AMENDMENT TO THE 2019-20 ANNUAL ACTION PLAN AND CITIZEN PARTICIPATION PLAN

NOTICE IS HEREBY GIVEN that in accordance with 24 CFR 91.05(c)(2) and subpart B of the federal regulations relative to citizen participation for Community Planning and Development Programs and applicable walvers made available to those requirements through the Coronavirus Aid, Rellef, and Economic Security Act (CARES Act), Public Law 116-136, effective March 27, 2020, the City of Ames is proposing a 3rd Substantial Amendment to its 2019-2020 Annual Action Plan funded pursuant to the Housing and Community Development Act of 1974, as amended.

of 1974, as amended. The 3rd Substantial Amendment 2019-2020 Annual Action Plan will involve the use of a 3rd special allocation of CARES Act (COVID-19) funding through the Community Development Block Grant (CDBG) Program in the amount of \$356,455. Under this 3rd round of funding the following three activities are being proposed to be continued to be implemented to prevent, prepare for, and respond to the Coronavirus (COVID-19): 1. Renter Relief Assistance Program; 2. Mortgage Relief Assistance Program and 3. Utility Relief Assistance for both Renters and Homeowners. The programs will be implemented on a citywide level for persons who are economically affected by the COVID-19 Pandemic and meet the low- and moderate-income limits. Additionally, as a part of this 3rd Substantial Amondment to the 2019-20 Annual Action Plan, the City may also revise its 2019-23 Five-Year Consolidated Plan's Citi-zen Participation Plan to include any additional waivers and amendments as provided in the Round 3 of CARES Act to expedite and facilitate the use of these funds.

Due to the COVID-19 Pandemic, the 3rd Substantial Amendment to 2019-20 Annual Action Plan and the revised 2019-23 Five-Year Consolidated Plan's Citizen Participation Plan is ONLY available for review on the City's web site at: www.cityofames.org/housing or at the Ames City Hall, 515 Clark Avenue, Planning & Housing Department, Room 214, Monday-Friday, 8 am to 4:30 pm.

All comments must be submitted to the following email: cdbgcares2020@cityofames.org. The five (5) day public comment period will begin on Thursday, January 21, 2021 and end on Monday, January 25, 2021. Additionally, NOTICE IS HEREBY

Additionally, NOTICE IS HEREBY BIVEN that the Ames City Council will conduct a public hearing to receive comments on the 3rd Amendment to the 2019-20 Action Plan on January 26, 2021, at 6:00 p.m. Due to the COVID-19 pandemic, this will be an electronic meeting. If you wish to provide input on the 3rd Amendment, you may do so as a video particinant by go-

no so as a moco por conjunctory au ing to:

https://zoom.us/j/826593023 or by telephone by dialing: US:1-312-626-6799 or toll-free: 1-838-475-4499 Zoom Meeting ID: 826 593 023

If you are in need of special accommodations for a disability or language translation, please contact Vanessa Baker-Latimer, at the Department Planning & Housing Department at 515-239-5400 or the TDD at (515) 239-5133 or by email at vanessa.bakerlatimeräcityolames.org by Monday, January 22, 2021.

For further information regarding this Notice, please contact Vanessa Baker-Latimer, Housing Coordinator, at 239-5400.

Diane R. Voss, City Clerk

Published in the Ames Tribune, January 20, 2021 (1T)



PRESS RELEASE

Contact: Susan Gwiasda, Public Relations Officer, <u>sgwiasda@cityofames.org</u>, 515.239.5204 Vanessa Baker-Latimer, Housing Coordinator, <u>vanessa.bakerlatimer@cityofames.org</u>, 515.239.5400

FOR IMMEDIATE RELEASE

January 21, 2021

Public Input Sought for Third Amendment to CDBG Annual Action Plan

AMES, Iowa – The public is encouraged to provide input to the City of Ames' request for a third substantial amendment to its CDBG 2019-2020 Annual Action Plan in order to receive a third round of Coronavirus Aid, Relief, and Economic Security (CARES) Act funding in the amount of \$356,455.

The CARES Act funds will be available for eligible activities meeting the national objective of the CDBG program and respond to the spread of infectious diseases such as the coronavirus disease 2019 (COVID-19). The City intends to continue the administering the rent, mortgage, and utility relief programs.

The five-day public comment period begins today and concludes on Monday, January 25. Due to the national, state, and local emergencies concerning COVID-19 restrictions on personal interactions, public comments can be sent be via email to Vanessa Baker-Latimer, Housing Coordinator at: <u>cdbgcares2020@cityofgames.org</u> or can be mailed to City of Ames, Planning & Housing Department, Housing Coordinator, PO Box 811, Ames, IA 50010-0811. Those who prefer to make public comments by phone should call the Housing Division's hotline number at 515.239.5380 between the hours of 8 a.m. and 4:30: p.m., Monday through Friday.

Those who need special accommodations for a disability or language translation should contact Vanessa Baker-Latimer, at the Department Planning & Housing Department, at 515.239.5400 or the TDD at 515.239.5133 by Monday, Jan. 25. All public comments will be taken into consideration prior to determining the use of these funds.



515 Clark Ave. P.O. Box 811 Ames, IA 50010 www.CityofAmes.org STORY COUNTY SUN | WEDNESDAY, JANUARY 20, 2021 | 3A

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NOTICE OF FUNDING AVAILABILITY

In response to the Coronavirus Pandemic (COVID-19) the U.S. Department of Housing and Urban Development (HUD) has notified the City of Ames that it will receive a 3rd round special allocation of Community Development Block Grant Program (CDBG) funds in the amount of \$356,455 to be used to prevent, prepare for, and respond to the Coronavirus (COVID-19). This allocation was authorized by the Coronavirus Aid, Relief, and Economic Security Act (CARES Act), Public Law 116-136, which was signed by President Trump on March 27, 2020, to respond to the growing effects of this historic public health crisis. The 3rd round of funding will require a 3rd Amendment to the City's 2019-20 Annual Action Plan and updating of the current Citizen Participation Plan.

This City of Ames is seeking public input from citizens within the community in regard to continuing the implementation of the Renter, Mortgage and Utility Relief Assistance Programs or other eligible actives for 3rd round of CDBG 2019-2020 CARES funding. A Five (5) Day Public Comment period will begin on Thursday, January 21, 2021 and conclude on Monday, January 25, 2021.

Due to the COVID-19 Pandemic, the 3rd Substantial Amendment to 2019-20 Annual Action Plan and the updated 2019-23 Five-Year Consolidated Plan's Citizen Participation Plan is ONLY available for review on the City's web site at: www.cityofames.org/housing or at the Ames City Hall, 515 Clark Avenue, Planning & Housing Department, Room 214, Monday-Friday, 8 am to 4:30 pm

Additionally, NOTICE IS HEREBY GIVEN that the Ames City Council will conduct a public hearing to receive comments on the 3rd Amendment to the 2019-20 Action Plan on January 26, 2021, at 6:00 p.m. Due to the COVID-19 pandemic, this will be an electronic meeting. If you wish to provide input on the 3rd Amendment, you may do so as a video participant by going to: https://zoom.us/j/826593023 or by telephone by dialing: US:1-312-626-6799 or toll-free: 1-888-475-4499 Zoom Meeting ID: 826 593 023

If you are in need of special accommodations for a disability or language translation, please contact Vanessa Baker-Latimer, at vanessa.bakerlatimer@cityofames.org or call 515,239,5400 or the TDD # at 515,239,5133 by Monday, January 25, 2021.

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Subject:	News2Use
Date:	Friday, January 22, 2021 7:38:05 AM
Attachments:	image001.png

Good Morning,

A few CDBG items that I want to bring to your attention that are available for public comment as advertise :

- 2019-20 Consolidated Annual Performance Report (CAPER) for program year ending 2019-20 (due to COVID-19) HUD gave an extension on filing this report (comment period started Jan 11th ends Monday);
- 3rd Substantial Amendment to the 2019-20 CDBG/HOME Annual Action Plan (this covers the 3rd Round of CARES (COVID-19) funding we were awarded back in September 2020) we are proposing to continue implementing the Rent, Mortgage and Utility Relief Assistance Program (Comment Period started January 21st ends Monday). To expedite COVID-19 funding HUD allowes a 5-day comment period.
- 3. Proposed Draft of the 2020-21 CDBG/HOME Annual Action Plan (yep this is for the program year we are now in) the majority of funds are being proposed to finish the Baker Subdivision for our mixed-income housing subdivision at the old middle school site. (comment period started January 21st ends Feb 20th.)

Really playing catch up from having to putting things side to get the COVID-19 program up and running. I soon will be working on the 2021-22 Annual Action Plan.

All of these items are on the city website at www.cityofames.org/housing.

Thanks So Much...Be Safe..Be Well!

Vanessa Baker-Latimer Housing Coordinator vanessa.bakerlatimer@cityofames.org

Planning & Housing Department | Housing Division Ames City Hall |515 Clark Ave. | Ames, IA 50010-0811 515-239-5400-main| 515-239-5699-fax| 515-239-5133-TDD