



PROPOSED

2020

ENTITLEMENT CDBG-CV

CARES (COVID-19) APPLICATION

Comment Period:

June 1, 2022 to
June 9, 2022

Entitlement CDBG-CV Application

Name of City: Ames

Allocation Amount: \$604,386

Program Descriptions: Please answer the questions starting on the next page for each program. There can be more than three programs, complete the questions for as many programs as you plan to fund. The programs should meet the needs of the COVID-19 response for your community. It will be important to remember that a Duplication of Benefits will be required for these funds. IEDA will work with you on developing forms and submission requirements to assure that funds will not be duplicated and that there is still an unmet need that these CDBG-CV dollars can meet.

Overall Budget (*add as many lines as you need*):

Program	Amount of Funds	Activity Delivery Cost Per Unit or Individual Activity	National objective
1 Facility Service Expansion/Renovation	\$561,932 (see attachment for itemized list)	Estimated 7% = \$42,454 (not to exceed 20%)	LMI

Program Descriptions (*add as many as you need*):

Program 1 Name: Facility Expansion/Renovation

1. Eligible Activity:

- a. Facility Expansion and Service Delivery Expansion for Facility-based Day care Centers and Agencies provided Food Pantries and Food Delivery Services.

2. National Objective (if LMI, discuss how you will determine LMI)

- a. LMI. Income will be determined based HUD's Limited Clientele definition and/or on an intake form that includes a self-certification of income-targeting 70% of the funding for this population.

3. Funds allocated to the Program:

- a. The estimate about of CDBG funds that would be made available to assist agencies in the interior expansion of their facilities, appliances, equipment, technology, etc. and transportation vehicle for the distribution of groceries is approximately \$561,932 with project delivery cost estimated at 7% approximately \$42,454 not to exceed 20%. There are approximately three non-profit ASSEST facility-based Day Centers and four primary food pantries (not including churches) within the City of Ames that would need facility and food distribution expansion dollars that is currently not available.

4. Description of the program:

- a. Funding would be targeted to the following two groups: 1) Licensed Facility-Based Day Care Centers that provide for the care and supervision of a child or multiple children at a time, whose ages range from two weeks to eighteen years during varies hours during the day or

evening, that include LMI Households. 2) Non-Profits Agencies that prepare and/or distribute food, groceries, and meals to very low-income households, homeless individuals, elderly and other households and individuals seeking these needed services.

With CARES and other funds dwindling, it is proposed that the State CDBG-CV CARES dollars would be used to support Day cares through using the dollars for room expansions to provide more room to socially distance children, especially children with autism and other developmental disorders in case of another surge, which would eliminate having to decrease the number of children and/or having to close operations. Non-Profits Food Pantry Agencies through using the dollars for facility storage expansion, storage appliances (refrigerators), food delivery (vans) and mobile and/or outdoor distributions stations with utilities.

The City expects interest from at least 7-day cares centers (3 nonprofit and 4 for-profit) and four major nonprofit Food Pantry Agencies (not including churches). Each entity would have to submit an application with documentation based on the criteria list above and other data and documentation the City would need to prioritize the funding. Each funded entity would be required into subrecipient agreements with the City to utilize these funds to enhance their services and/or collaborate together to create a larger more efficient process for these expansions and/or services. To be clear, Day Care agencies already have access to funding dollars to increase staff capacity for expansion, but no funding for actual facility expansion and Non-Profits will have access to increase their volunteers, but due to increase gas prices the pool is small, therefore by providing vehicles would help increase the number of volunteers; therefore CDBG-CV funding will only be spent on items or expenses not already covered by other funding sources.

5. Describe the criteria that will be used to select applications and the relative importance of these criteria:

- a. Populations served are at or below 80% AMI through self-certifications of households and/or agency meets the definition of providing services to a “Limited Clientele Benefit”
- b. Meets the City’s program eligibility criteria to apply for and receive federal grant assistance.
- c. Meets COVID for one or both of the following reasons:
 - i. Provide safer environments for socially distancing for services for children in day care, for families, individuals, and the elderly to have access to food, and other services as needed.
- d. Project location and service area within the city limits of Ames
- e. Is not currently receiving other direct federal or state COVID Assistance for this service
- f. Applicants’ ability to assist the City in being able to utilize the grant funds in a timely manner.
- g. Applications processed on a first come, first ready to proceed basis

6. Describe threshold factors and anticipated award amounts:

- a. Qualifying agencies could generally obtain approximately \$30,000 to 200,000 or more of the available grant’s funds. The fund will be providing for the cost of materials and labor. The City will conduct the all-procurement process for the bidding for contractors (Davis-Bacon) or other items (vans, appliances, etc.)

7. Estimate on the number of families assisted:

- a. In 2021, the three nonprofit day care centers provided services to approximately 531 children of the 531, 113 (21%) were at or below the Federal Poverty Level, and of the 113, 79 (70%) were considered LMI; For-Profit Day Care centers services data not available at this time will be available at a later date.
- b. In 2021, of the three major city-funded non-profits provide food distribution services, approximately 5,600 households of which 90% were at or below the Supplemental Nutrition Assistance Program (SNAP) income threshold. In 2021, the fourth non-profit that provides food distribution services provided approximately \$35,000 households for meal pick up and approximately 23,000 for groceries (these are duplicated numbers).

8. Describe how the planned activity targets public health, coronavirus, and housing and economic disruption needs directly:

To help prevent and prepare the spread of the coronavirus during the peak of the pandemic and now in conjunction with future upticks in COVID cases from the Delta, Omicron, BA.2 and other variants these two groups have been greatly impacted and have and to continue to significantly modify their services to meet the needs of these populations.

- a. Day Care Services, especially in-home providers were forced to closed due pandemic related closures and safety measures. Additionally, after the high peak of the pandemic, a large percent closed permanently. This situation led to an increased demand on facility-based day cares. During the pandemic facility-based also day cares closed during the high peak of the pandemic. Upon reopening, day cares placed limitations in the number of children that could be served to the precautions for socially distancing and other health related concerns, especially regarding the safety of children with disabilities. Also, parents were reluctant to take return their children to a day setting without the socially distancing regards at the centers.

Now that the period of the pandemic has slowed down considerably, and households are returning to work, the need for day care spots is significantly short. Current, there is a waiting of over approximately 270 children waiting opening for day cares. Not only does day cares not have the staff to cover the gap, their do not have the space to accommodate the increase in terms of space and staffing to the accommodate these increased needs.

For nonprofits providing food distribution types of services, they were forced to construct temporary outdoor structures (no electricity, heat, insulation, no temperature control etc.) to distribute food and/or had to store extra food supplies in offices, common spaces, and counseling spaces to store or try and distribute Food. Congregate meals sights were closed, and this create a significant increase in the number of delivered meals to be delivered to low-income households. Also, agencies had to create call-in or online ordering systems to serve the large increase of households needing food. This system created the need for more volunteers and/or staff time to distribute the food. Some agencies have instituted a delivery system to decrease the number needed to come to the facility. This also required additional volunteers who are unable to drive their own vehicles due to the increases in gas prices.

With the slowdown in the COVID numbers, this is an excellent opportunity to assist these two specific groups in order to prepare them to be able to continue their services if another COVID surge would arise like in 2020-2021. We are already seeing an uptick in numbers across the country.

Additionally, economically here in Ames/Story County, although the job market of employers is strong, the impact from the pandemic has greatly decreased the number of people in the workforce. Increase levels of turnover in the workforce has caused businesses to have to reduce their hours of operation or reduce their services levels. There is a health care worker shortage in our community, the local hospital is facing a shortage of approximately 125 positions. A large number of individuals are still not ready to re-enter the workforce due to their mental health. Employers are looking for incentives to retain and attract workers and one method is day care options. With households with members not mentally ready to return to work, leaving a shortage of total household income, the need for food and meals is still high. Also congregate meal sites for the elderly are still not open, therefore increased numbers of meal deliveries are needed for this population. These are all continued impacts from the pandemic.

9. Describe how this program will help you expend 80% of your funding by 7/20/2023

- a. Program funds will be expended until exhausted. The City will be taking the lead in helping qualified agencies prepare for plans and specification and will be taking the lead in the procurement process to insure timely and compliance with federal and state guidelines and to insure to avoid duplication of benefits.

10. Please list dates and percentages to reach 80% by 7/20/2023:

Date	Amount of Funds	Percentage of Funds
8/31/2022	\$140,483	25%
12/31/2022	\$140,483	25%
3/31/2023	\$140,483	25%
6/30/2023	\$140,483	25%

Applicant/Recipient Disclosure/Update Report

U.S. Department of Housing
and Urban Development

OMB Approval No. 2510-0011 (exp. 11/30/2018)

Instructions. (See Public Reporting Statement and Privacy Act Statement and detailed instructions on page 2.)

Applicant/Recipient Information

Indicate whether this is an Initial Report ☐ or an Update Report ☐

1. Applicant/Recipient Name, Address, and Phone (include area code):	2. Social Security Number or Employer ID Number:
3. HUD Program Name	4. Amount of HUD Assistance Requested/Received
5. State the name and location (street address, City and State) of the project or activity:	

Part I Threshold Determinations

- | | |
|---|--|
| 1. Are you applying for assistance for a specific project or activity? These terms do not include formula grants, such as public housing operating subsidy or CDBG block grants. (For further information see 24 CFR Sec. 4.3).
<input type="checkbox"/> Yes <input type="checkbox"/> No | 2. Have you received or do you expect to receive assistance within the jurisdiction of the Department (HUD), involving the project or activity in this application, in excess of \$200,000 during this fiscal year (Oct. 1 - Sep. 30)? For further information, see 24 CFR Sec. 4.9
<input type="checkbox"/> Yes <input type="checkbox"/> No. |
|---|--|

If you answered “No” to either question 1 or 2, **Stop!** You do not need to complete the remainder of this form. **However**, you must sign the certification at the end of the report.

Part II Other Government Assistance Provided or Requested / Expected Sources and Use of Funds.

Such assistance includes, but is not limited to, any grant, loan, subsidy, guarantee, insurance, payment, credit, or tax benefit.

Department/State/Local Agency Name and Address	Type of Assistance	Amount Requested/Provided	Expected Uses of the Funds

(Note: Use Additional pages if necessary.)

Part III Interested Parties. You must disclose:

- All developers, contractors, or consultants involved in the application for the assistance or in the planning, development, or implementation of the project or activity and
- any other person who has a financial interest in the project or activity for which the assistance is sought that exceeds \$50,000 or 10 percent of the assistance (whichever is lower).

Alphabetical list of all persons with a reportable financial interest in the project or activity (For individuals, give the last name first)	Social Security No. or Employee ID No.	Type of Participation in Project/Activity	Financial Interest in Project/Activity (\$ and %)

(Note: Use Additional pages if necessary.)

Certification

Warning: If you knowingly make a false statement on this form, you may be subject to civil or criminal penalties under Section 1001 of Title 18 of the United States Code. In addition, any person who knowingly and materially violates any required disclosures of information, including intentional non-disclosure, is subject to civil money penalty not to exceed \$10,000 for each violation.

I certify that this information is true and complete.

Signature:	Date: (mm/dd/yyyy)
X	

Public reporting burden for this collection of information is estimated to average 2.0 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection information unless that collection displays a valid OMB control number.

Privacy Act Statement. Except for Social Security Numbers (SSNs) and Employer Identification Numbers (EINs), the Department of Housing and Urban Development (HUD) is authorized to collect all the information required by this form under section 102 of the Department of Housing and Urban Development Reform Act of 1989, 42 U.S.C. 3531. Disclosure of SSNs and EINs is voluntary. HUD is authorized to collect this information under the Housing and Community Development Act of 1987 42 U.S.C.3543 (a). The SSN or EIN is used as a unique identifier. The information you provide will enable HUD to carry out its responsibilities under Sections 102(b), (c), and (d) of the Department of Housing and Urban Development Reform Act of 1989, Pub. L. 101-235, approved December 15, 1989. These provisions will help ensure greater accountability and integrity in the provision of certain types of assistance administered by HUD. They will also help ensure that HUD assistance for a specific housing project under Section 102(d) is not more than is necessary to make the project feasible after taking account of other government assistance. HUD will make available to the public all applicant disclosure reports for five years in the case of applications for competitive assistance, and for generally three years in the case of other applications. Update reports will be made available along with the disclosure reports, but in no case for a period generally less than three years. All reports, both initial reports and update reports, will be made available in accordance with the Freedom of Information Act (5 U.S.C. §552) and HUD's implementing regulations at 24 CFR Part 15. HUD will use the information in evaluating individual assistance applications and in performing internal administrative analyses to assist in the management of specific HUD programs. The information will also be used in making the determination under Section 102(d) whether HUD assistance for a specific housing project is more than is necessary to make the project feasible after taking account of other government assistance. You must provide all the required information. Failure to provide any required information may delay the processing of your application, and may result in sanctions and penalties, including imposition of the administrative and civil money penalties specified under 24 CFR §4.38.

Note: This form only covers assistance made available by the Department. States and units of general local government that carry out responsibilities under Sections 102(b) and (c) of the Reform Act must develop their own procedures for complying with the Act.

Instructions

Overview.

A. Coverage. You must complete this report if:

- (1) You are applying for assistance from HUD for a specific project or activity **and** you have received, or expect to receive, assistance from HUD in excess of \$200,000 during the fiscal year;
- (2) You are updating a prior report as discussed below; or
- (3) You are submitting an application for assistance to an entity other than HUD, a State or local government if the application is required by statute or regulation to be submitted to HUD for approval or for any other purpose.

B. Update reports (filed by "Recipients" of HUD Assistance):

General. All recipients of covered assistance must submit update reports to the Department to reflect substantial changes to the initial applicant disclosure reports.

Line-by-Line Instructions.

Applicant/Recipient Information.

All applicants for HUD competitive assistance, must complete the information required in blocks 1-5 of form HUD-2880:

1. Enter the full name, address, city, State, zip code, and telephone number (including area code) of the applicant/recipient. Where the applicant/recipient is an individual, the last name, first name, and middle initial must be entered.
2. Entry of the applicant/recipient's SSN or EIN, as appropriate, is optional.
3. Applicants enter the HUD program name under which the assistance is being requested.
4. Applicants enter the amount of HUD assistance that is being requested. Recipients enter the amount of HUD assistance that has been provided and to which the update report relates. The amounts are those stated in the application or award documentation. NOTE: In the case of assistance that is provided pursuant to contract over a period of time (such as project-based assistance under section 8 of the United States Housing Act of 1937), the amount of assistance to be reported includes all amounts that are to be provided over the term of the contract, irrespective of when they are to be received.
5. Applicants enter the name and full address of the project or activity for which the HUD assistance is sought. Recipients enter the name and full address of the HUD-assisted project or activity to which the update report relates. The most appropriate government identifying number must be used (e.g., RFP No.; IFB No.; grant announcement No.; or contract, grant, or loan No.) Include prefixes.

Part I. Threshold Determinations - Applicants Only

Part I contains information to help the applicant determine whether the remainder of the form must be completed. **Recipients filing Update Reports should not complete this Part.**

If the answer to **either** questions 1 or 2 is No, the applicant need not complete Parts II and III of the report, but must sign the certification at the end of the form.

Part II. Other Government Assistance and Expected Sources and Uses of Funds.

A. Other Government Assistance. This Part is to be completed by both applicants and recipients for assistance and recipients filing update reports. Applicants and recipients must report any other government assistance involved in the project or activity for which assistance is sought. Applicants and recipients must report any other government assistance involved in the project or activity. Other government assistance is defined in note 4 on the last page. For purposes of this definition, other government assistance is expected to be made available if, based on an assessment of all the circumstances involved, there are reasonable grounds to anticipate that the assistance will be forthcoming.

Both applicant and recipient disclosures must include all other government assistance involved with the HUD assistance, as well as any other government assistance that was made available before the request, but that has continuing vitality at the time of the request. Examples of this latter category include tax credits that provide for a number of years of tax benefits, and grant assistance that continues to benefit the project at the time of the assistance request.

The following information must be provided:

1. Enter the name and address, city, State, and zip code of the government agency making the assistance available.
2. State the type of other government assistance (e.g., loan, grant, loan insurance).
3. Enter the dollar amount of the other government assistance that is, or is expected to be, made available with respect to the project or activities for which the HUD assistance is sought (applicants) or has been provided (recipients).
4. Uses of funds. Each reportable use of funds must clearly identify the purpose to which they are to be put. Reasonable aggregations may be used, such as "total structure" to include a number of structural costs, such as roof, elevators, exterior masonry, etc.

B. Non-Government Assistance. Note that the applicant and recipient disclosure report must specify all expected sources and uses of funds - both from HUD **and any other source** - that have been or are to be, made available for the project or activity. Non-government sources of

funds typically include (but are not limited to) foundations and private contributors.

Part III. Interested Parties.

This Part is to be completed by both applicants and recipients filing update reports. Applicants must provide information on:

1. All developers, contractors, or consultants involved in the application for the assistance or in the planning, development, or implementation of the project or activity and
2. any other person who has a financial interest in the project or activity for which the assistance is sought that exceeds \$50,000 or 10 percent of the assistance (whichever is lower).

Note: A financial interest means any financial involvement in the project or activity, including (but not limited to) situations in which an individual or entity has an equity interest in the project or activity, shares in any profit on resale or any distribution of surplus cash or other assets of the project or activity, or receives compensation for any goods or services provided in connection with the project or activity. Residency of an individual in housing for which assistance is being sought is not, by itself, considered a covered financial interest.

The information required below must be provided.

1. Enter the full names and addresses. If the person is an entity, the listing must include the full name and address of the entity as well as the CEO. Please list all names alphabetically.
2. Entry of the Social Security Number (SSN) or Employee Identification Number (EIN), as appropriate, for each person listed is optional.
3. Enter the type of participation in the project or activity for each person listed: i.e., the person's specific role in the project (e.g., contractor, consultant, planner, investor).
4. Enter the financial interest in the project or activity for each person listed. The interest must be expressed both as a dollar amount and as a percentage of the amount of the HUD assistance involved.

Note that if any of the source/use information required by this report has been provided elsewhere in this application package, the applicant need

not repeat the information, but need only refer to the form and location to incorporate it into this report. (It is likely that some of the information required by this report has been provided on SF 424A, and on various budget forms accompanying the application.) If this report requires information beyond that provided elsewhere in the application package, the applicant must include in this report all the additional information required.

Recipients must submit an update report for any change in previously disclosed sources and uses of funds as provided in Section I.D.5., above.

Notes:

1. All citations are to 24 CFR Part 4, which was published in the Federal Register. [April 1, 1996, at 63 Fed. Reg. 14448.]
2. Assistance means any contract, grant, loan, cooperative agreement, or other form of assistance, including the insurance or guarantee of a loan or mortgage, that is provided with respect to a specific project or activity under a program administered by the Department. The term does not include contracts, such as procurements contracts, that are subject to the Fed. Acquisition Regulation (FAR) (48 CFR Chapter 1).
3. See 24 CFR §4.9 for detailed guidance on how the threshold is calculated.
4. "Other government assistance" is defined to include any loan, grant, guarantee, insurance, payment, rebate, subsidy, credit, tax benefit, or any other form of direct or indirect assistance from the Federal government (other than that requested from HUD in the application), a State, or a unit of general local government, or any agency or instrumentality thereof, that is, or is expected to be made, available with respect to the project or activities for which the assistance is sought.
5. For the purpose of this form and 24 CFR Part 4, "person" means an individual (including a consultant, lobbyist, or lawyer); corporation; company; association; authority; firm; partnership; society; State, unit of general local government, or other government entity, or agency thereof (including a public housing agency); Indian tribe; and any other organization or group of people.

IDEA CARES (COVID-19) Assistance Program		
Name of Agency	Activity	Amount of Request
ChildServe	Kitchen and appliance remodel; Additional infant childcare classroom; technology and preschool curriculum	\$130,000
University Child Care (UCC)-ISU	HVC, Outdoor STEM Equipment, Commerical kitchen oven	\$200,932
Ames Community Perschool Center (ACPC)	Infant Program Expansion (Toddler Play Structure; Renovation of Existing space; furnishing & equipment) and Classroom Flooring Upgrade	\$156,500
MICA	Mini cargo van for grocery deliveries	\$30,000
Sub total-1		\$517,432
Budget Cushion		<u>\$44,500</u>
Sub total-2		\$561,932
Administration		\$42,454
		\$604,386
Grand Total		

FEDERAL ASSURANCES SIGNATURE PAGE

I, John A. Haila, (Mayor) hereby certify that in carrying out the activities funded under the CDBG Program, the Ames/Story County of Iowa:

- A. will minimize displacement of persons as a result of such activities;
- B. will conduct and administer the program in conformity with Public Law 88-352 (Title VI of the Civil Rights Act of 1964), and Public Law 90-284 (Title VIII of the Civil Rights Act of 1968) and will affirmatively further fair housing);
- C. will provide for opportunities for citizen participation, hearings, and access to information with respect to our community development program comparable to the requirements found under sections 104(a)(2) and 104(a)(3) of Title I of the Housing and Community Development Act of 1975 as amended through 1987; and
- D. will not attempt to recover any capital costs of public improvements assisted in whole or part under the CDBG Program by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements, unless (i) funds received under the CDBG Program are used to pay the proportion of such fee or assessment that relates to the capital costs of such public improvements that are financed from revenue sources other than under Public Law 93-383, as amended, or (ii) for purposes of assessing any amount against properties owned and occupied by persons of low and moderate income who are not of very low income, the city/county has certified to the State that it lacks sufficient funds received under the CDBG Program to comply with the requirements of clause (i) above.

I also certify that to the best of my knowledge and belief, data in the application is true and correct, including commitment of local resources; the document has been duly authorized by the governing body of the applicant; and the applicant will comply with all applicable federal and state requirements, including the following, if assistance is approved:

- A. Civil Rights Acts;
- B. Housing and Community Development Acts of 1974, as amended;
- C. Age Discrimination Act of 1975;
- D. Section 504 of the Rehabilitation Act of 1973;
- E. Davis-Bacon Act, as amended, where applicable under Section 110 of the Housing and Community Development Act of 1974, as amended; Contract Work Hours and Safety Standards Act; the Copeland Anti-kickback Act; the Department of Defense Reauthorization Act of 1986 and the Fair Labor Standards Act.
- F. National Environmental Policy Act of 1969 and 24 CFR 58 (Environmental Review).
- G. Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended;
- H. State of Iowa Citizen Participation Plan;
- I. Lead-based Paint Poisoning Prevention Act;
- J. Residential Anti-displacement and Relocation Assistance Plan;
- K. Government-wide Restriction on Lobbying and the Hatch Act; and
- L. Prohibition on the Use of Excessive Force.

John A. Haila, Mayor, City of Ames

Typed Name of Applicant Official

Signature

Date

Diane R. Voss, City Clerk, City of Ames

Typed Name of Person Attesting

Signature

Date